

# Equilibrium Codes and Solvers

Physics status, math, and algorithmic issues

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PPPL

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# Outline

## I. Solvers

- Progress on TSC (FSP) block tridiagonal solver with GLF23
- GCNM

## II. Equilibrium Equation with Adiabatic Invariants

- TEQ Grad/Shafraanov  $q$ -solver
- TSC relaxation solver
- Extensions

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# The FSP Solver-1

Model problem to illustrate the need for a Newton Solver with GLF23:

Consider a diffusion equation for the temperature  $T$  in toroidal flux coordinates  $\Phi$  :

$$\frac{\partial T}{\partial t} = \frac{\partial}{\partial \Phi} \left[ \Phi \chi(T') \frac{\partial T}{\partial \Phi} \right] + S \quad (1)$$

We apply a boundary condition of  $T=0$  at  $\Phi=1$ , keep  $S=1$ , and use the above solution as an initial condition. Now, define a function that mimics the critical gradient thermal diffusivity model GLF23:

$$\chi(T') = \left\{ \begin{array}{ll} k(|T'| - T'_c)^\alpha + \chi_0 & \text{for } |T'| > T'_c \\ \chi_0 & \text{for } |T'| \leq T'_c \end{array} \right\} \quad (2)$$

For definiteness, let  $\chi_0=1.0$ ,  $\alpha=0.5$ ,  $k = 10$ , and with  $T'_c = 0.5$

# The FSP Solver-2

Formulation for solution of model problem with Crank Nicholson:

Try solving this with the  $\theta$ -implicit method (Crank-Nicholson corresponds to  $\theta=0.5$ ): , with  $s= \Delta t / \Delta \Phi^2$

$$T_j^{n+1} = T_j^n + s\theta \left\{ \left[ \Phi_{j+1/2} \chi(T_{j+1/2}^m) (T_{j+1}^{n+1} - T_j^{n+1}) \right] - \left[ \Phi_{j-1/2} \chi(T_{j-1/2}^m) (T_j^{n+1} - T_{j-1}^{n+1}) \right] \right\} \\ + s(1-\theta) \left\{ \left[ \Phi_{j+1/2} \chi(T_{j+1/2}^m) (T_{j+1}^n - T_j^n) \right] - \left[ \Phi_{j-1/2} \chi(T_{j-1/2}^m) (T_j^n - T_{j-1}^n) \right] \right\} + \Delta t S$$

Or,

$$A_j T_{j+1}^{n+1} - B_j T_j^{n+1} + C_j T_{j-1}^{n+1} + D_j = 0$$

$$A_j = s\theta \Phi_{j+1/2} \chi(T_{j+1/2}^m)$$

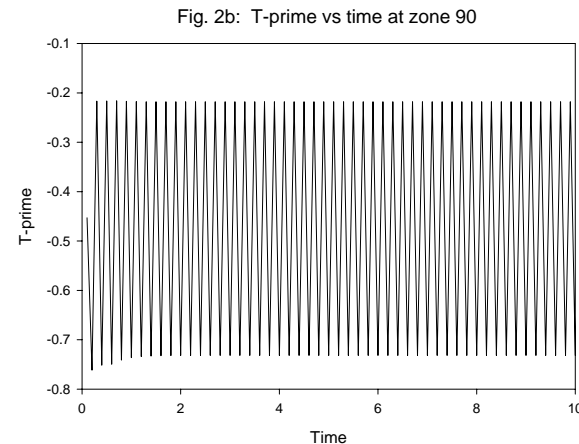
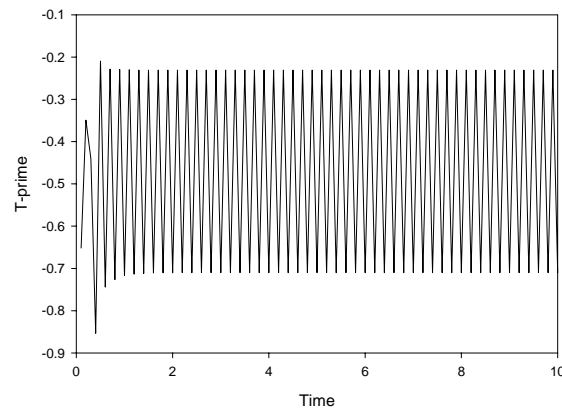
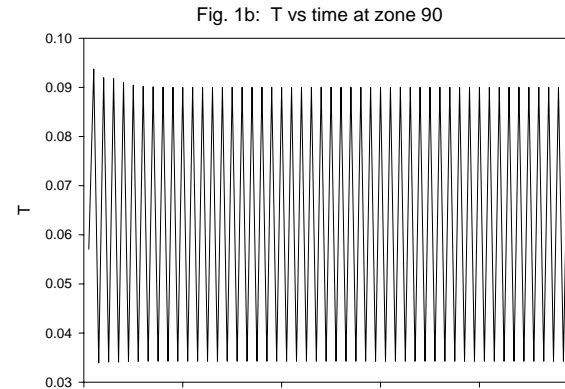
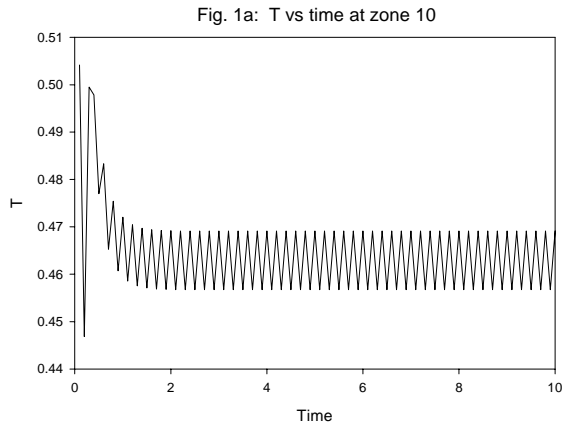
$$C_j = s\theta \Phi_{j-1/2} \chi(T_{j-1/2}^m)$$

$$B_j = 1 + A_j + C_j$$

$$D_j = T_j^n + s(1-\theta) \left\{ \left[ \Phi_{j+1/2} \chi(T_{j+1/2}^m) (T_{j+1}^n - T_j^n) \right] - \left[ \Phi_{j-1/2} \chi(T_{j-1/2}^m) (T_j^n - T_{j-1}^n) \right] \right\} + \Delta t S$$

# The FSP Solver-3

Results for Solution of model problem with Crank Nicholson:



The illustrations in Figs 1 and 2 are the result of solving this with  $n=100$  zones, with a time step  $\Delta t=0.1$ , implicit parameter  $\theta=1.0$  for 100 time steps. We plot the time history of the function and derivative at locations 10 and 90.<sup>6</sup>

# The FSP Solver-4

Formulation for solution of model problem with nonlinear implicit method:

The nonlinear  $\theta$ -implicit method corresponds to: (with  $s = \Delta t / \Delta \Phi^2$ )

$$T_j^{n+1} = T_j^n + s\theta \left\{ \left[ \Phi_{j+1/2} \chi(T_{j+1/2}^{m+1}) (T_{j+1}^{n+1} - T_j^{n+1}) \right] - \left[ \Phi_{j-1/2} \chi(T_{j-1/2}^{m+1}) (T_j^{n+1} - T_{j-1}^{n+1}) \right] \right\} \\ + s(1-\theta) \left\{ \left[ \Phi_{j+1/2} \chi(T_{j+1/2}^m) (T_{j+1}^n - T_j^n) \right] - \left[ \Phi_{j-1/2} \chi(T_{j-1/2}^m) (T_j^n - T_{j-1}^n) \right] \right\} + \Delta t S$$

Or,

$$A_j T_{j+1}^{n+1} - B_j T_j^{n+1} + C_j T_{j-1}^{n+1} + D_j = 0$$

$$A_j = s\theta \Phi_{j+1/2} \chi(T_{j+1/2}^{m+1})$$

$$C_j = s\theta \Phi_{j-1/2} \chi(T_{j-1/2}^{m+1})$$

$$B_j = 1 + A_j + C_j$$

$$D_j = T_j^n + s(1-\theta) \left\{ \left[ \Phi_{j+1/2} \chi(T_{j+1/2}^m) (T_{j+1}^n - T_j^n) \right] - \left[ \Phi_{j-1/2} \chi(T_{j-1/2}^m) (T_j^n - T_{j-1}^n) \right] \right\} + \Delta t S$$

# The FSP Solver-5

A **Newton method** for solving the nonlinear implicit equations is still a tri-diagonal system, but involves derivatives of  $\chi$  wrt  $T$  and  $T'$ :

$$A_j T_{j+1}^{n+i/N} - B_j T_j^{n+i/N} + C_j T_{j-1}^{n+i/N} + D_j = 0$$

$$A_j = s\theta\Phi_{j+1/2} \left[ \chi_{j+1/2} + \left( \frac{\partial\chi}{\partial T'} \right) T_{j+1/2}'^{m+(i-1)/N} \right]$$

$$C_j = s\theta\Phi_{j-1/2} \left[ \theta\chi_{j-1/2} + \left( \frac{\partial\chi}{\partial T'} \right) T_{j-1/2}'^{m+(i-1)/N} \right]$$

$$B_j = 1 + A_j + C_j$$

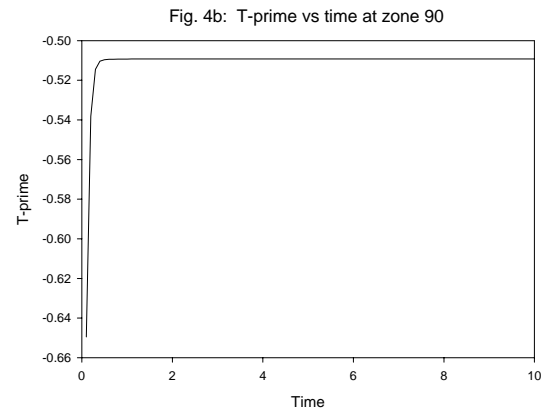
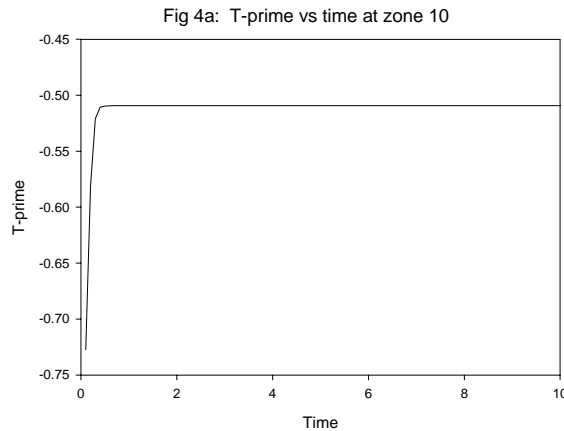
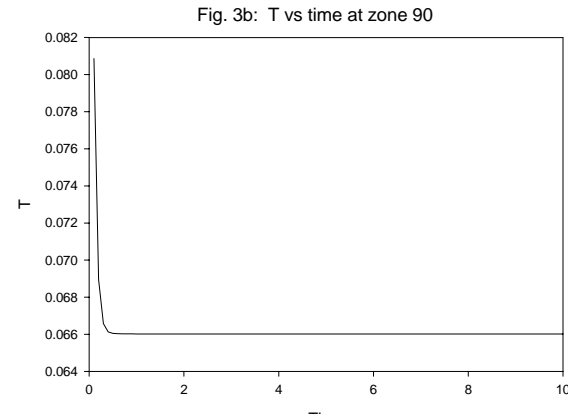
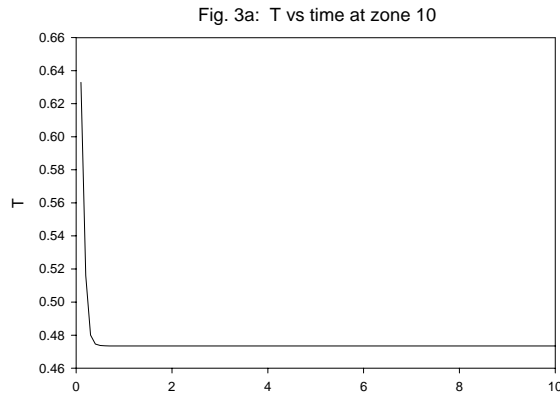
$$D_j = T_j^n + s(1-\theta) \left\{ \left[ \Phi_{j+1/2} \chi_{j+1/2} (T_{j+1}^n - T_j^n) \right] - \left[ \Phi_{j-1/2} \chi_{j-1/2} (T_j^n - T_{j-1}^n) \right] \right\}$$

$$+ \Delta t S + s\Phi_{j+1/2} T_{j+1/2}'^{m+(i-1)/N} \left( T_j^{n+(i-1)/N} - T_{j+1}^{n+(i-1)/N} \right) \left[ \frac{\partial\chi}{\partial T'} \right]$$

$$+ s\Phi_{j-1/2} T_{j-1/2}'^{m+(i-1)/N} \left( T_j^{n+(i-1)/N} - T_{j-1}^{n+(i-1)/N} \right) \left[ \frac{\partial\chi}{\partial T'} \right]$$

# The PTRANSP FSP Solver-6

Results for Solution of model problem with Newton Method:



We see that the solution converges to a mean result (compared to Figs 1 and 2) and without oscillations.

# The FSP Solver-7

This has been generalized in terms of general geometry and separate ion and electron temperatures, but keeping the same block tri-diagonal structure:

$$\frac{\partial}{\partial t} \sigma = \frac{2}{3} (V')^{2/3} \left[ V_L \frac{\partial K}{\partial \Phi} - \frac{\partial}{\partial \Phi} V' (q^i + q^e) + V' (S_e + S_i - R_e) \right]$$

$$\frac{\partial}{\partial t} \sigma_e = \frac{2}{3} (V')^{2/3} \left[ V_L \frac{\partial K}{\partial \Phi} - \frac{\partial}{\partial \Phi} V' (q^e) + V' \left( -\Gamma \frac{\partial p_i}{\partial \Phi} + Q_{\Delta e} + S_e - R_e \right) \right]$$

NOTE: in previous notation:  $Q^i = V'q^i, Q^e = V'q^e$

$$dsi = q^i = q^{i0} + q^{i1} \frac{\partial}{\partial \Phi} \left( \frac{N}{V'} \right) + q^{i2} \frac{\partial}{\partial \Phi} \left( \frac{\sigma}{V'^{5/3}} \right) + q^{i3} \frac{\partial}{\partial \Phi} \left( \frac{\sigma_e}{V'^{5/3}} \right) + q^{i4} \frac{\partial}{\partial \Phi} \left( \iota \left\langle \frac{J |\nabla \psi|^2}{R^2} \right\rangle \left\langle \frac{J}{R^2} \right\rangle \right)$$

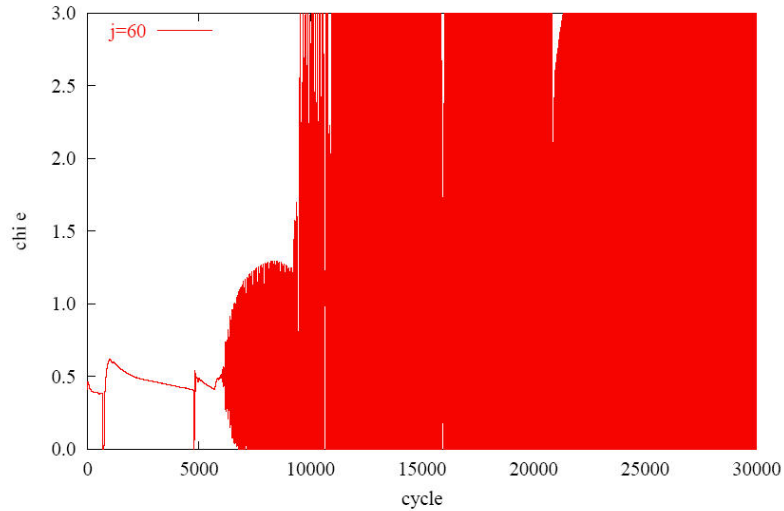
$$dse = q^e = q^{e0} + q^{e1} \frac{\partial}{\partial \Phi} \left( \frac{N}{V'} \right) + q^{e2} \frac{\partial}{\partial \Phi} \left( \frac{\sigma}{V'^{5/3}} \right) + q^{e3} \frac{\partial}{\partial \Phi} \left( \frac{\sigma_e}{V'^{5/3}} \right) + q^{e4} \frac{\partial}{\partial \Phi} \left( \iota \left\langle \frac{J |\nabla \psi|^2}{R^2} \right\rangle \left\langle \frac{J}{R^2} \right\rangle \right)$$

$$\sigma = (p_e + p_i) V'^{5/3}$$

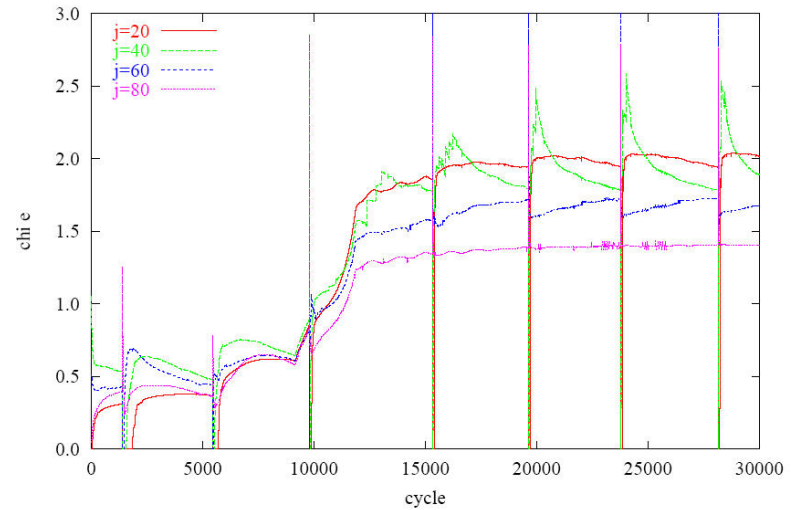
$$\sigma_e = (p_e + p_i) V'^{5/3}$$

# The FSP Solver-8

This has been implemented in the full solver:



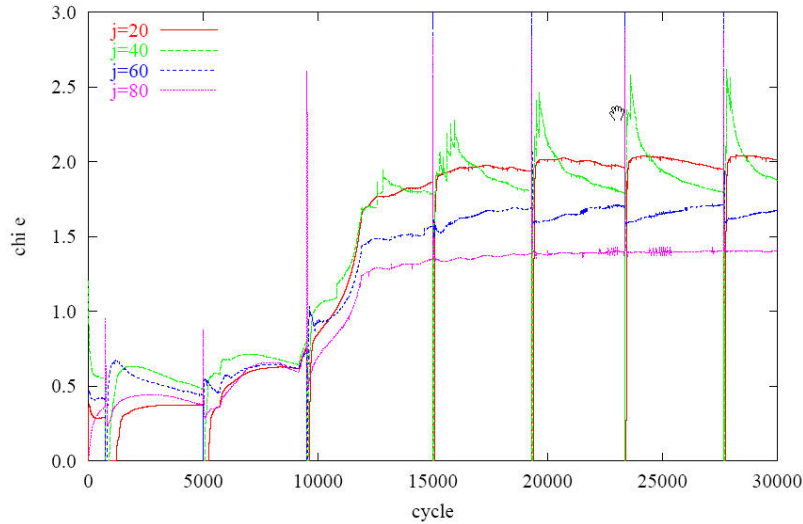
Without linearization



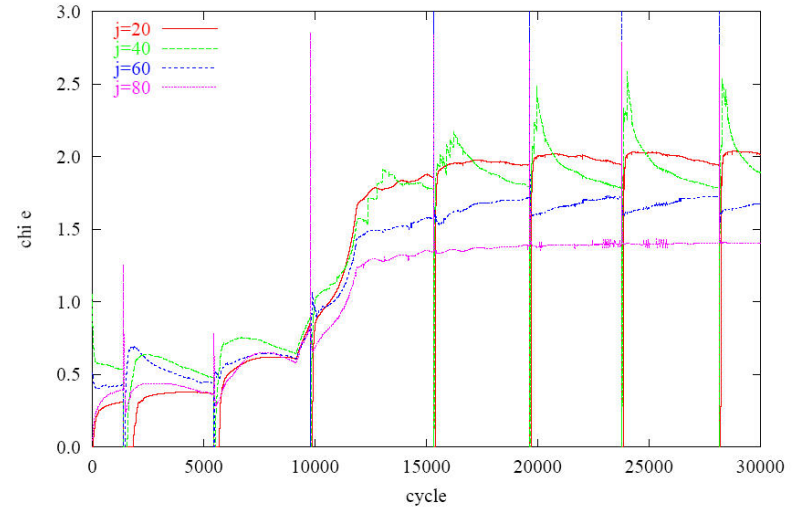
With linearization

# The FSP Solver-9

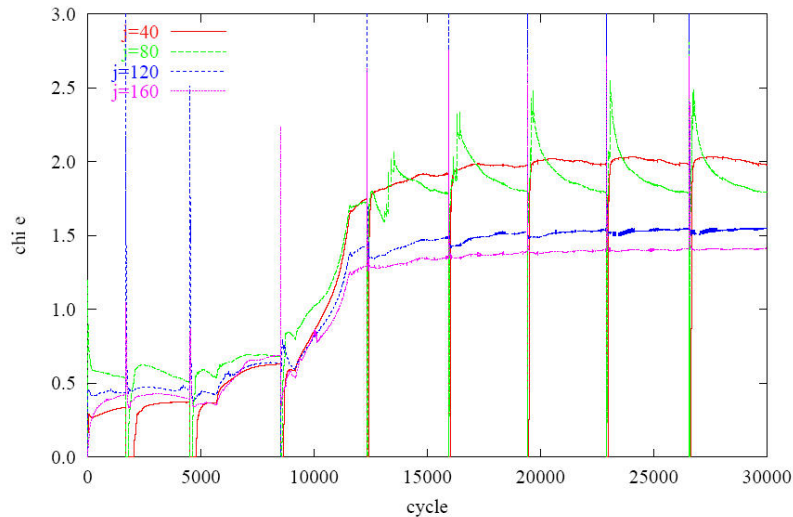
## Convergence Tests:



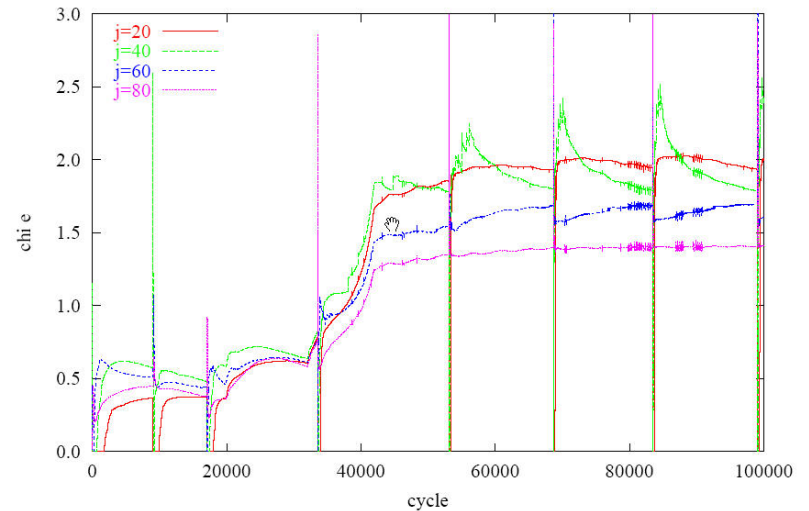
Base case: 1 Newton iteration per timestep



3 Newton iterations per timestep

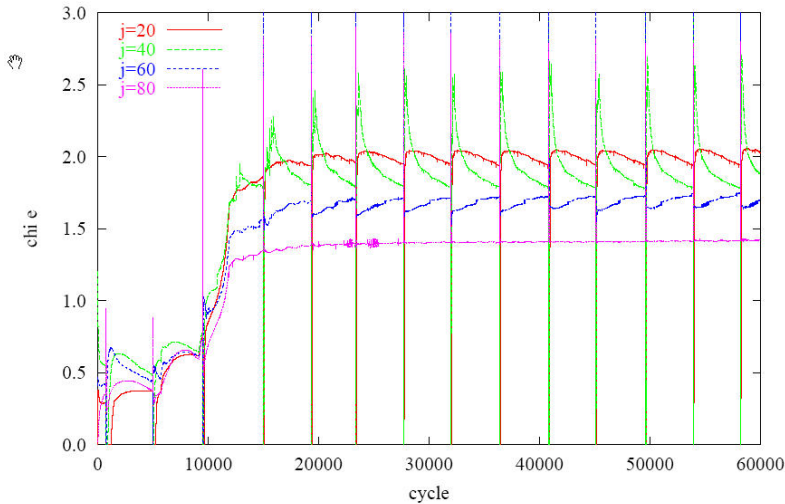


Double # of zones

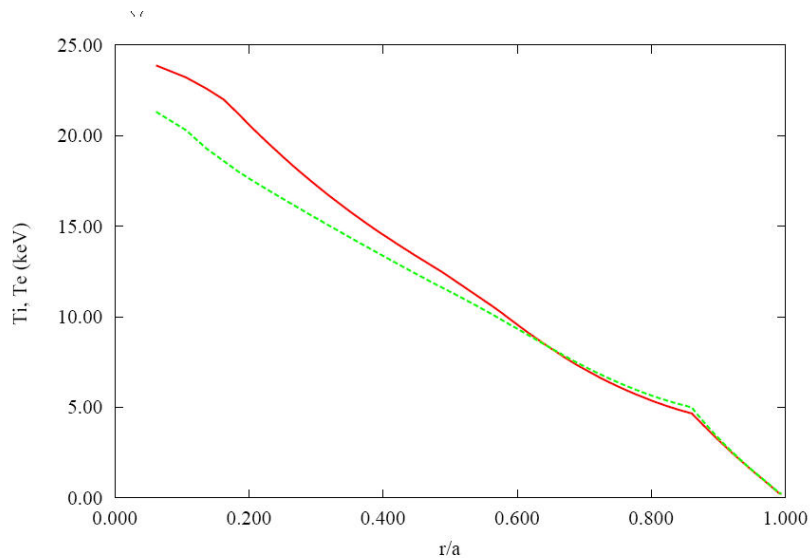


Reduce timestep by 3

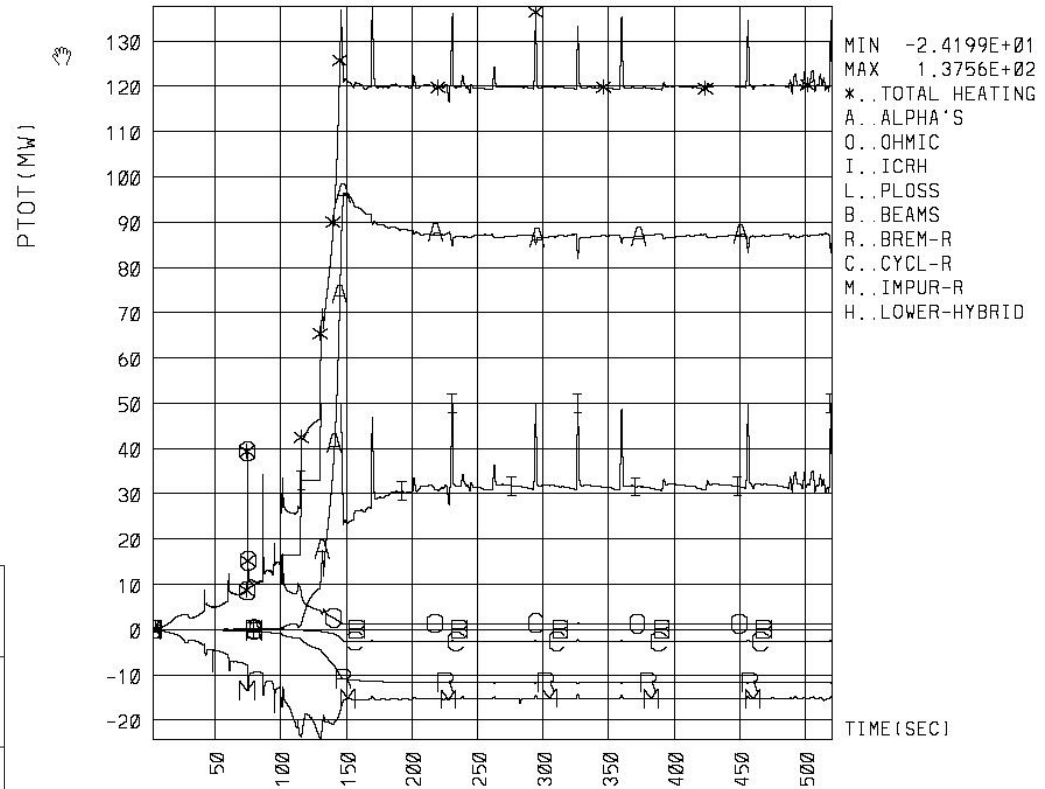
# Results for a 500s ITER run:



Chi Values for entire run



Profiles at 250s



Energies vs time

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# Globally Convergent Newton's Method (GCNM)

- The GCNM also applies Newton's Method to solve the nonlinear time advance equations, but does not retain the tri-diagonal structure when computing the Jacobian matrix
- It is “more robust” than the FSP solver in that it will always return a “best” solution; however it can be considerably more time-consuming for large number of zones
- It is being made parallel
- Has not yet been officially delivered, although a prototype version was offered.

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# Equilibrium Equation

In the Evolving Equilibrium description, the momentum equation is replaced by force balance equation:

$$\rho \vec{V} \cdot \nabla \vec{V} + \nabla \cdot \vec{P} = \vec{J} \times \vec{B}$$

For an axisymmetric plasma with  $\vec{V} = 0$  and  $\vec{P} = p\vec{I}$  this is equivalent to the Grad-Shafranov-Schlüter (GSS) equation:

$$R^2 \nabla \cdot \frac{1}{R^2} \nabla \psi + R^2 \mu_0 p(\psi) + \frac{1}{2} \frac{d}{d\psi} g^2(\psi) = 0$$

Here, we use the notation:  $\vec{B} = \nabla \phi \times \nabla \psi + g \nabla \phi$        $\mu_0 \vec{J} = \nabla \times \vec{B}$

$$\mu_0 = 4\pi \times 10^{-7}$$

Note the existence of the 2 “free functions” that must be specified to solve the GSS equation. In this case they are  $p(\psi)$  and  $g(\psi)$ , but these are not always the most physically meaningful. Also, B.C. need to be supplied.

# Equilibrium Eq. with Adiabatic Invariants

- The adiabatic invariants should be exactly the same before and after the equilibrium solution is called.
- The way to insure this is to write the equilibrium equation in terms of the adiabatic invariants  $\sigma(\Phi)$  and  $\iota(\Phi)$ :

noting that :  $p(\Phi) = \frac{\sigma(\Phi)}{V'^{5/3}}$ ,  $g(\Phi) = \frac{(2\pi)^{-1}}{i(\Phi)V' \langle R^{-2} \rangle}$ , we have:

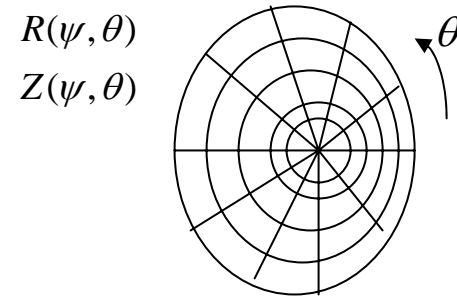
$$R^2 \nabla \cdot R^{-2} \nabla \Psi + R^2 \frac{d}{d\Psi} \left[ \frac{\sigma(\Phi)}{V'^{5/3}} \right] + \frac{(2\pi)^{-2}}{i(\Phi)V' \langle R^{-2} \rangle} \frac{d}{d\Psi} \left[ \frac{1}{i(\Phi)V' \langle R^{-2} \rangle} \right] = 0$$

Note that this integral-differential equation implies that contouring and surface averaging are required during the GSS iterations: QDE.

# 2-Approaches for Equilibrium

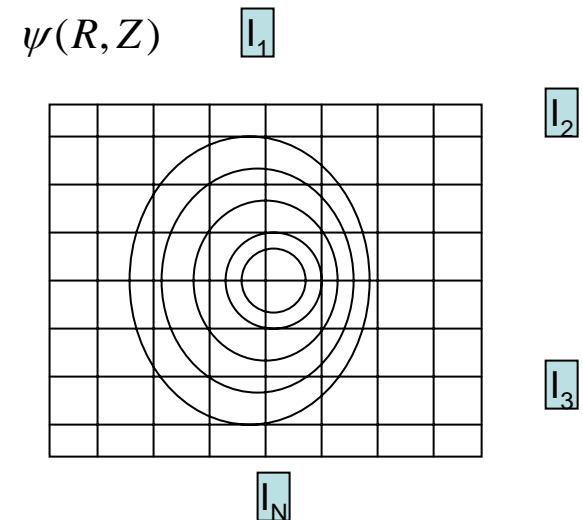
## Fixed Boundary (POLAR2D/TEQ)

- Inverse Equilibrium equation solved inside a given, fixed boundary



## Free Boundary (TEQ)\*

- Equilibrium equation solved everywhere, requires coil currents, determines boundary shape.



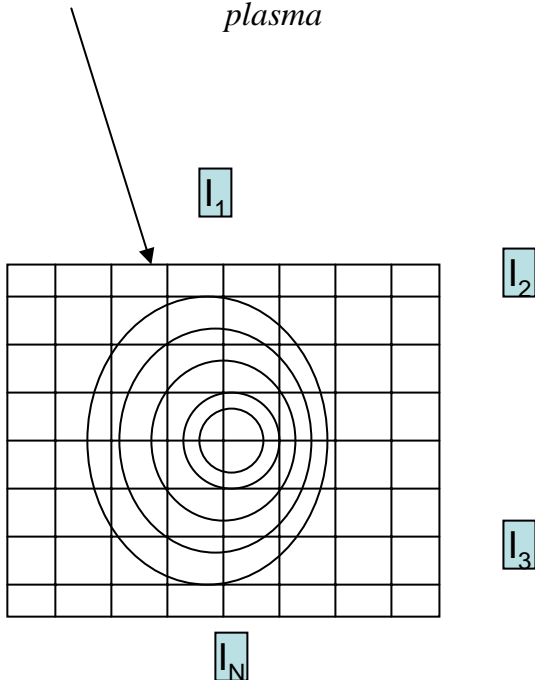
\*This is our main interest for SWIM

# Coupling to the circuits equation:

Poloidal field coils and metallic structures obey circuits equations coupling them to the plasma:

$$\frac{d}{dt} \left[ L_i I_i(t) + \sum_{i \neq j} M_{ij} I_j + \int_{plasma} J(\vec{R}') G(\vec{R}_i, \vec{R}') d\vec{R}' \right] + R_i I_i = V_i, \quad i = 1, N$$

$$\psi_b(\vec{R}) = \int_{plasma} J(\vec{R}') G(\vec{R}, \vec{R}') d\vec{R}' + \sum_{i=1}^N I_i G(\vec{R}, \vec{R}_i)$$



$$G(\mathbf{R}; \mathbf{R}') = \frac{\sqrt{RR'}}{2\pi k} \left[ (2 - k^2) K(k^2) - E(k^2) \right]$$

$$k^2 = \frac{4RR'}{\left[ (R + R')^2 + (Z - Z')^2 \right]}, \quad K, E \text{ elliptic integrals}$$

Virtual casing theorem (Green's second identity) is used to turn 2D integral into 1D line integral:

$$\int_{plasma} J(\vec{R}') G(\vec{R}, \vec{R}') d\vec{R}' = \oint_{boundary} \frac{d\ell}{R} G(\vec{R}, \vec{R}') \frac{\partial U}{\partial n}$$

$$\Delta^* U = \Delta^* \psi, \quad U = 0 \text{ on boundary}$$

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# Alternate Method (used in the TSC code)

$$\rho F \left( \frac{\partial \vec{V}}{\partial t} + \frac{1}{\tau} \vec{V} \right) + \nabla p = \vec{J} \times \vec{B}$$

$$\frac{\partial \vec{B}}{\partial t} = \nabla \times \left[ \vec{V} \times \vec{B} - \eta \vec{J} \right], \quad \mu_0 \vec{J} = \nabla \times \vec{B}$$

$$p = \frac{\sigma(\Phi)}{V^{5/3}}$$

$F$  and  $\tau$  are chosen to speed convergence of relaxation iteration for velocity: results should show convergence as  $F \rightarrow 0$ .

- Physically, this corresponds to artificially slowing down (critically damping) the Alfvén waves and adding an artificial damping term
- Mathematically, it corresponds to a dynamic relaxation iteration method for the equilibrium equation, and just performing one relaxation iteration each time step.
- This method has some advantages in that it has a direct physical analogy. It is also very accurate in calculating Volt-Second consumption, and can easily handle open-field line configurations such as occur during disruptions or during Coaxial Helicity Injection (CHI).

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# Extensions

- Include flow in the equilibrium solution
  - Toroidal flow only
  - Toroidal + poloidal flow
- Include non-scalar pressure
  - CGL form  $\vec{\mathbf{P}} = p_{\perp} \vec{\mathbf{I}} + (p_{\parallel} - p_{\perp}) \frac{\vec{\mathbf{B}}\vec{\mathbf{B}}}{B^2}$
  - More general form using distribution function

$$\vec{\mathbf{P}}(\vec{\mathbf{R}}) = \int d^3\vec{\mathbf{V}} f(\vec{\mathbf{R}}, \vec{\mathbf{V}}) (\vec{\mathbf{V}} - \vec{\mathbf{V}}(\vec{\mathbf{R}})) (\vec{\mathbf{V}} - \vec{\mathbf{V}}(\vec{\mathbf{R}}))$$